

Name Keefe Susan Ann
Last First Middle



GRANT-IN-AID

ACLS

Social Security # [REDACTED]

Present rank/title, with field Assistant Professor of Church History
(For example, "Associate Professor of English". If no academic affiliation, please use "Independent Scholar")

Institution Duke University, Durham, NC
(Please include city, and campus if appropriate)

Department The Divinity School Field of Specialization Early & Medieval Church History

Brief descriptive title of research proposal The Education of a Christendom: Clerical Instruction and Popular Belief in Ninth-Century Europe: A Study of Creeds and Creed Commentaries in Carolingian Collection Volumes.

Mailing address The Divinity School, Duke University, Durham, NC 27706

Telephone 919-684-2653

Citizenship U.S.A. If not U.S., do you hold permanent resident status? _____

1. Education

Name of Institution	Field of Study	Dates of Enrollment	Degree and Date
<u>University of Toronto</u>	<u>Medieval Studies</u>	<u>1976-81</u>	<u>Ph.D., 1981</u>
<u>University of Toronto</u>	<u>Medieval Studies</u>	<u>1975-76</u>	<u>M.A., 1976</u>
<u>University of Pennsylvania</u>	<u>History & Classics</u>	<u>1972-75</u>	<u>B.A., 1975</u>

Title of doctoral dissertation Baptismal Instruction in the Carolingian Period: The Manuscript Evidence.

2. Publications

Please attach a list of representative publications - in not more than two pages, numbered 1a and 1b - including titles, dates of publication, names of publishers or journals and numbers of pages.

List of Publications

- Book (forthcoming), Water and the Word: Baptism and the Education of the Clergy in the Carolingian Empire: A Study of Texts and Manuscripts (publisher and date pending.)
- Article, "Baptism" in Dictionary of the Middle Ages, New York, Charles Scribner's Sons, vol. II, 1983, pp. 83-86.
- Article, "Carolingian Baptismal Expositions: A Handlist of Tracts and Manuscripts" in Carolingian Essays, ed. by Uta-Renate Blumenthal, Catholic Univ. of America Press, Washington, D. C., 1983, pp. 169-237.
- Article, "An Unknown Response to Charlemagne's Baptismal Questionnaire from the Archiepiscopal Province of Sens," in Revue Bénédictine, XCVI, nos. 1-2 (1986), pp. 48-93.
- Article, "The Claim of Authorship in Carolingian Baptismal Expositions: the Case of Odilbert of Milan" in Fälschungen im Mittelalter. Internationaler Kongress der Monumenta Germaniae Historica, München, 15.-18. September 1986 (Schriften der Monumenta Germaniae Historica 33.2;) Hannover, 1988, pp. 385-401.
- Review, Pierre J. Payer, Sex and the Penitentials, Toronto, 1984, in Speculum, 61 (April, 1986), pp. 453-455.
- Review, Edward James, transl., Gregory of Tours: Life of the Fathers, Liverpool, 1985, in Church History, 54, no. 4 (Dec., 1985), pp. 512f.
- Review, Luce Pietri, La Ville de Tours du IVe au VI Siècle: Naissance d'une Cité Chrétienne, Rome, 1983, in Church History, 56, no. 1 (Mar., 1987), pp. 109f.
- Review, Joseph H. Lynch, Godparents and Kinship in Early Medieval Europe, Princeton, 1986, in Church History (forthcoming).
- Review, Michael J. Enright, Iona, Tara, and Soissons: The Origin of the Royal Anointing Ritual, Berlin/New York, 1985, in Church History, (forthcoming).

3. Awards and Research

List grants, fellowships, or awards you have held, or will hold, stating in each case the dates and amounts.

Mellon Faculty Fellowship, 1987-88, \$25,000.

Mellon Postdoctoral Research/Teaching Fellowship, 1981-83, \$18-19,000.

Last supported research leave and source of support DAAD, 1979

Are you applying elsewhere for financial aid for your present research proposal? Specify, including amount and tenure period.

NEH Summer Stipend June 1 to August 1, 1991, \$3750.00

DAAD "Study Visit" June 1 to August 1, 1991, DM 3,000

Where and when do you plan to conduct your proposed research project? Western Europe, June 1 to Aug. 1, '91

4. Proposed Tenure and Budget

A. Period during which ACLS grant will be expended: from June 1, 1991 to August 1, 1991

B. Amount requested from ACLS: \$3,000.00

C. Items on which ACLS grant will be expended (i.e., transportation, maintenance away from home, reproduction of materials, etc.)

Items	Amount
<u>round-trip airfare to Europe</u>	<u>1100.00</u>
<u>transportation for 2 mos. in Europe</u>	<u>700.00</u>
<u>lodging & meals (35.00 x 60)</u>	<u>2100.00</u>
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5. Professional Background

Positions held (professional, teaching, administrative), beginning with most recent

Name of Institution	Title	Dates of employment
<u>Duke University,</u>	<u>Asst. Prof. of Church History</u>	<u>1988 to present</u>
<u>Harvard University,</u>	<u>Mellon Faculty Fellow in History,</u>	<u>1987-88</u>
<u>Davidson College,</u>	<u>Asst. Prof. of Medieval History,</u>	<u>1983-87</u>
<u>California Institute of Technology,</u>	<u>Mellon Post-Ph.D. Instructor,</u>	<u>1981-83</u>

Name: Susan A. Keefe

In what foreign countries have you done research in the last 5 years? West Germany, France, Italy, Spain

List the foreign languages you can use, indicating proficiency in reading, speaking, and writing.

German, French (good reading knowledge), Spanish, Italian (sufficient)

6. References

List below the names, titles and addresses of the three persons you have asked to send letters of reference.

- A. Professor Roger E. Reynolds, Pontifical Institute of Mediaeval Studies,
59 Queen's Park Crescent East, Toronto, Ontario, CANADA M5S 2C4
- B. Professor David Ganz, Dept. of Classics, University of North Carolina at
Chapel Hill, 212 Murphey Hall 030 A, Chapel Hill, NC 27514
- C. Professor David Steinmetz, The Divinity School, Duke University, Durham,
NC 27706

7. Research Proposal

Please attach a statement of your research project. Explain clearly in essay form of **not more than 1,000** words what you plan to do and why, making clear the relevance of the program to your professional experience and the significance of the project within your field of scholarship. Give a brief summary of progress already made on the project. If your program is part of a cooperative undertaking, explain the relationships. Please also include information on any publication arrangements that have already been made.

Be as specific as possible, while at the same time bearing in mind that the selection committee is **multi-disciplinary**, composed of scholars who are not necessarily specialists in your own field. It is requested that applicants avoid an outline format and that they adhere strictly to the 1,000 word limit. If it is vital to your research statement, you may add a bibliography of not more than two pages. Please be sure your name is in the upper right corner of each page, and that the pages are numbered consecutively.

Please head your research statement with a brief, descriptive title.

Date: Sept. 29, 1990

Signature: Susan A. Keefe

ACLS GRANT-IN-AID 1991
PROPOSAL OF SUSAN ANN KEEFE

TITLE OF PROPOSAL: The Education of a Christendom: Clerical Instruction and Popular Belief in Ninth-Century Europe: A Study of Creeds and Creed Commentaries in Carolingian Collection Volumes.

DESCRIPTION OF PROPOSAL:

I am applying for a Grant-in-Aid in order to meet the expenses of research at European archives from June 1 to August 1, 1991. This research is aimed at the publication of a book with the title of this proposal.

My project is acutely humanistic in that it deals with the history of education: specifically: how were the local parish clergy educated in the early Middle Ages? Across western Europe at the time of Charlemagne (ca. 800) reforms sought to unite his empire through a common faith, common forms of worship, and a single religious law code. This unity of belief and practice could only be brought about in a lasting way through education. It was the bishops and their parish priests who for the rural population were its only contact with the world of learning. Yet, we know almost nothing about the local parish priest at the time of the so-called "Carolingian Reform."

Is it possible, today, to remove this ignorance: to confirm or reject **on the basis of actual evidence** (not reform laws) the importance of the Carolingian era in the history of popular education? The closest we will ever come to knowing how and what the people at the grass-roots level of society were taught are the manuscripts containing instruction for the clergy.

These, astonishingly enough, have been far from fully examined by scholars. Many contain texts that have never been identified or published. In a book I have just completed on Carolingian baptismal expositions (brief explanations of the rite of baptism) I describe the contents of over sixty ninth-century manuscripts, many of which, it becomes clear by their contents, were intended as educational manuals for parish priests. These manuscripts reflect the Carolingian Reform in its most basic goal to improve the education of the clergy through whom the people received their understanding of Christianity.

While I focused in these manuscripts on baptismal expositions, it became evident that baptism was only one of many subjects of instruction for the parish priest. Creeds and creed commentaries also appear in these same volumes. No one has as yet systematically collected these texts pertaining to the definition of the faith, and identified them. Yet they reveal what the baptized people of the ninth-century European countryside actually were taught about the Christian faith, and how much diversity of belief existed from one area to another. In the late eighth and ninth century, Europe, while nominally orthodox Christian, was still struggling with widespread paganism and with Arianism, Adoptionism, Pelagianism, and other less well-defined mis-constructions of Christianity. Part of the story of the Christianization of Europe is wrapped up in the creeds which every male and female parent or sponsor was required to memorize and recite at baptism. Why do they vary in the manuscripts? Did the variations reflect a wide range of understanding of Christianity-- everything from the concept of a God who is Three **and** One, to a resurrection of the body, to an eternal retribution for one's good or bad deeds?

My book on the creeds will aim to shed more light on another problem

area as well: despite the current fervor of manuscript research, no one has yet made an attempt to appreciate the Carolingian collection volumes (defined as a large number of diverse texts all bound together in a single volume) as books each with a specific purpose or recipient. We need to appreciate the book **as a whole**, not only individual texts. How was the volume intended? As a schoolbook? a bishop's manual? a library volume for copying? a clerical instruction-reader? a missionary's vade me-cum? There are numerous possibilities, but we must begin to establish some criteria by which to define the intended destination of these volumes, so that we do not misconstrue the actual purpose or function of any individual text found in them. This is absolutely key to accurately evaluating the true nature and extent of success of the Carolingian Reform.

Thus, in order to write this book it is first necessary to show for whom the manuscript volumes were intended that contain the creed commentaries. I must describe their entire contents, a neglect of scholars in the past. Because catalogue descriptions often fail to give complete descriptions of the contents of these Carolingian collection volumes, I must go to the libraries and read them, then order microfilm (if permitted), or hand copy them.

Some efforts are underway to microfilm the extant medieval manuscripts in Europe. The Hill Monastic Manuscript Library at St. John's University in Collegeville, Minnesota has filmed a large number of libraries in Austria and Germany, and scattered libraries in Spain, Portugal, and England, which has saved me time and expense. But their project has yet to cover many of the libraries containing manuscripts I wish to see, especially in northern Italy and Spain. I have already established a fairly substantial list of manuscripts that do or probably do contain creeds or creed commentaries.

PLAN OF PROPOSAL

Specifically, my plan is 1) to continue gathering manuscript information between now and June 1, 1991, as well as write to the libraries I plan to visit (a courtesy that helps me when I arrive); 2) between June 1 and August 1, 1991 to see manuscripts in Germany, Italy (at this time: Monza, Turin, Padua, Ivrea, Novara, Vercelli, Milan, Verona, Florence, Rome, Montecassino, Naples), Spain (at this time: Barcelona, Vic, Tortosa, El Escorial), and France (Montpellier, Verdun, Albi, Paris, Orléans, Tours, Laon). This is only an initial list. I will be accumulating more cities after writing this proposal as I do more research in the catalogues available to me in this country and after speaking to some of my colleagues now in Europe.

Summary Paragraph of Research Proposal

My proposal is to write a book on ninth-century creeds and creed commentaries and their manuscripts, in the larger context of the history of popular education. In order to write the book, I will need to go to European archives to identify and describe a large number of manuscripts which are poorly catalogued and contain hitherto unpublished explanations of the faith. The Grant-in-aid ^{is requested for travel + maintenance in Europe} ~~will be spent~~ from June 1 to August 1, 1991. <